

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : PARAGON FINANCE LTD.
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/12/2017**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipt are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of sharehold ers (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlyin g Depositor y Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Sharehold ing as a % of total no. of shares (calculat ed as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	9	2166095	0	0	2166095	50.967	2166095	0	2166095	50.967	0	50.967	0	0.000	0	0.000	2166095
(B)	Public	956	2083905	0	0	2083905	49.033	2083905	0	2083905	49.033	0	49.033	0	0.000	0	0.000	1972324
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	965	4250000	0		4250000	100.000	4250000	0	4250000	100.000	0	100.000	0	0.000	0	0.000	4138419

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareh olders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (a)	No. (a)		As a % of total Shares held (a)
									Class x	Class y	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals/Hindu undivided Family		4	445783	0	0	445783	10.489	445783	0	445783	10.489	0	10.489	0	0.000	0	0.000	445783
	GAYATRI GUPTA	ACGPG6699F	0	18800	0	0	18800	0.442	18800	0	18800	0.442	0	0.442	0	0.000	0	0.000	18800
	KRISHNA KANT JHA	AIWPJ3557H	0	62883	0	0	62883	1.480	62883	0	62883	1.480	0	1.480	0	0.000	0	0.000	62883
	SANJAY KUMAR GUPTA	ABBP9970D	0	185700	0	0	185700	4.369	185700	0	185700	4.369	0	4.369	0	0.000	0	0.000	185700
	SHREYA GUPTA	ADWPG8453G	0	178400	0	0	178400	4.198	178400	0	178400	4.198	0	4.198	0	0.000	0	0.000	178400
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		5	1720312	0	0	1720312	40.478	1720312	0	1720312	40.478	0	40.478	0	0.000	0	0.000	1720312
	BASERA ABASAN PRIVATE LIMITED	AACCB5337J	0	234383	0	0	234383	5.515	234383	0	234383	5.515	0	5.515	0	0.000	0	0.000	234383
	KIT COMMERCIAL PRIVATE LIMITED	AABCK3346H	0	322700	0	0	322700	7.593	322700	0	322700	7.593	0	7.593	0	0.000	0	0.000	322700
	MAHANT VANIYA PVT LTD	AACCM3148A	0	800429	0	0	800429	18.834	800429	0	800429	18.834	0	18.834	0	0.000	0	0.000	800429
	NAMAN BARTER PRIVATE LIMITED	AAACN8749C	0	172800	0	0	172800	4.066	172800	0	172800	4.066	0	4.066	0	0.000	0	0.000	172800
	PRAGMA ESTATES PRIVATE LIMITED	AADCP4568Q	0	190000	0	0	190000	4.471	190000	0	190000	4.471	0	4.471	0	0.000	0	0.000	190000
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		9	2166095	0	0	2166095	50.967	2166095	0	2166095	50.967	0	50.967	0	0.000	0	0.000	2166095
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		9	2166095	0	0	2166095	50.967	2166095	0	2166095	50.967	0	50.967	0	0.000	0	0.000	2166095

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareh olders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholdin g % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in demateriali zed form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Ba nks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pensio n Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2)	Central Government/ State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0

	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		908	315174	0	0	315174	7.416	315174	0	315174	7.416	0	7.416	0	0.000	0	0.000	210293
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		8	723421	0	0	723421	17.022	723421	0	723421	17.022	0	17.022	0	0.000	0	0.000	723421
	HARENDRA KUMAR TIWARI	AFEPT9286Q	0	60000	0	0	60000	1.412	60000	0	60000	1.412	0	1.412	0	0.000	0	0.000	60000
	HEERA SHARMA	ALGPS0169P	0	61450	0	0	61450	1.446	61450	0	61450	1.446	0	1.446	0	0.000	0	0.000	61450
	SANATAN SHARMA	AWDPS5080R	0	61450	0	0	61450	1.446	61450	0	61450	1.446	0	1.446	0	0.000	0	0.000	61450
	SANJAY KUMAR GUPTA	AAMAS5837J	0	315700	0	0	315700	7.428	315700	0	315700	7.428	0	7.428	0	0.000	0	0.000	315700
	SHAMBHU SHARMA	ALGPS0522G	0	71265	0	0	71265	1.677	71265	0	71265	1.677	0	1.677	0	0.000	0	0.000	71265
	SHAMBHU SHARMA [HUF]	AAFHS2867C	0	59450	0	0	59450	1.399	59450	0	59450	1.399	0	1.399	0	0.000	0	0.000	59450
	SWAROOP KUMAR SARAYAN	AJUPS9218D	0	60450	0	0	60450	1.422	60450	0	60450	1.422	0	1.422	0	0.000	0	0.000	60450
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		24	1034565	0	0	1034565	24.343	1034565	0	1034565	24.343	0	24.343	0	0.000	0	0.000	1030265
	BEEKAY CHEMICALS PRIVATE LIMITED	AABCB1883E	0	125000	0	0	125000	2.941	125000	0	125000	2.941	0	2.941	0	0.000	0	0.000	125000
	BHOWMICK MARKETING PRIVATE LIMITED	AACCB5011D	0	125000	0	0	125000	2.941	125000	0	125000	2.941	0	2.941	0	0.000	0	0.000	125000
	BRITE ASBESTOS AND ENGINEERING PRIVATE L	AABCB0950G	0	198200	0	0	198200	4.664	198200	0	198200	4.664	0	4.664	0	0.000	0	0.000	198200

	CRAWFORD PLANTATIONS PRIVATE LIMITED	AABCC0331Q	0	125000	0	0	125000	2.941	125000	0	125000	2.941	0	2.941	0	0.000	0	0.000	125000
	DOVER TIE-UP PVT.LTD.	AACCD2580P	0	125000	0	0	125000	2.941	125000	0	125000	2.941	0	2.941	0	0.000	0	0.000	125000
	SIPHON MARKETING PVT. LTD.	AAICS9382E	0	125000	0	0	125000	2.941	125000	0	125000	2.941	0	2.941	0	0.000	0	0.000	125000
	TOBOCK TRADE AND SALES PVT LTD	AAACT9203H	0	191000	0	0	191000	4.494	191000	0	191000	4.494	0	4.494	0	0.000	0	0.000	191000
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		1	1000	0	0	1000	0.024	1000	0	1000	0.024	0	0.024	0	0.000	0	0.000	1000
	NRI - Non-Repatriable		3	5400	0	0	5400	0.127	5400	0	5400	0.127	0	0.127	0	0.000	0	0.000	3000
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memebers		12	4345	0	0	4345	0.102	4345	0	4345	0.102	0	0.102	0	0.000	0	0.000	4345
	IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		956	2083905	0	0	2083905	49.033	2083905	0	2083905	49.033	0	49.033	0	0.000	0	0.000	1972324
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		956	2083905	0	0	2083905	49.033	2083905	0	2083905	49.033	0	49.033	0	0.000	0	0.000	1972324

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

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